# Caries, Oral Health Knowledge, Behavior, and Barriers to Care Among a Group of Urban Poor in the Philippines: An Overview

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#### ABSTRACT

**Purpose:** To provide an overview of the caries status and needs, knowledge, behavior and barriers to oral health in a group of adults from a poor urban community in the Philippines.

**Materials and methods:** 401 subjects aged 16 to 85 years (Mean  $\pm$  SD: 41.4  $\pm$  15.2) were assessed for caries status and needs, oral mucosal lesions and fluorosis using the 1997 WHO format and interviewed using a structured questionnaire. The examinations were conducted in natural light with a mouth mirror and an explorer with the subject seated in a regular chair. One-way ANOVA was used to compare the means and Chi-square and Fisher's exact test was used to compare the proportions. The significance level was set at  $\alpha$  = 0.05.

**Results:** The mean DMFT for the whole sample was 15.59. Older adults had more missing teeth compared to their younger counterparts (0.73 MT for  $\leq$ 20 years; 22.13 MT for  $\geq$ 71 years) while the number of mean untreated decay was higher among the younger age cohorts with those aged 21 to 30 years of age experiencing the most untreated decay (DT of 9.37). The need for extractions increased with age (1.70; SD 1.92 teeth in 61-70 year-olds) and the need for multi-surface fillings (6.59, SD 2.86) was greatest among young adults. In general, the oral health knowledge and awareness of the importance of good oral hygiene was high, however, only 33% reported seeing a dentist in the previous 2 years. Over 82% reported their perceived oral health as fair to poor, suggesting that this urban, poor population is aware of their needs, but face financial barriers to acting on this perceived need.

**Conclusion:** A large percentage of the present sample of Filipino adults had significant unmet caries needs and adequate finances were the main barrier to seeking care at a dental office.

Keywords: Philippines, Caries, Poor, Knowledge, Adults.

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#### INTRODUCTION

Although the prevalence of dental caries is on the decline in Europe and North America, it is still considered to be moderate to high in many regions of the developing world and linked to selected systemic diseases, especially in underprivileged population groups.<sup>1-5</sup>

Regrettably, oral health is usually not considered a priority issue by the public health sector in developing countries, particularly among disadvantaged groups such as the urban poor. When one combines factors such as economic barriers, low utilization of existing oral health services and a scarcity of preventive oral health programs, preventable oral conditions occur, such as caries and periodontal disease, resulting in orofacial discomfort or pain and tooth loss.<sup>6</sup>

Large segments of the population in developing nations consist of rural dwellers and the urban poor. It is well established that there are discrepancies in health including oral health between the urban rich and the urban poor especially in these countries.<sup>7</sup> According to a report published by the World Bank, 'the urban poor are particularly vulnerable in times of crisis due to their heavy reliance on a cash economy, job losses and wage reductions in urban-based industries, and no agricultural production to fall back on.8 Although the definition of urban poverty is not well-defined, the National Statistical Coordination Board of the Republic of the Philippines, describes the Urban poor as an individual residing in an urban area whose income falls below the official poverty threshold.9 In 2009, the annual per capita poverty threshold in the Philippines was PhP 16841, about US\$ 385 per year.<sup>10</sup> IBON Foundation, Inc., an independent development institution established in 1978, reported in a recent survey, that approximately just over 68% of the population in the Philippines considered themselves as poor.<sup>11</sup>

The prevalence of oral diseases continues to be a public health issue in the country. According to data published by the Department of Health, Republic of the Philippines, it is estimated that more than 75% of the adult population have some form of periodontal disease,<sup>12</sup> while 82% of 12-year-old

had caries (mean DMFT 2.9).<sup>13</sup> Besides data from a few national surveys, there is a dearth of published information concerning the oral health status and needs, knowledge and attitudes of adult Filipinos especially in those considered the Urban poor or poor. Knowledge, attitudes and awareness are important prerequisites to effect a change in behavior, including behavior associated with health and disease prevention.<sup>14</sup> In addition, it has been established that a lack of awareness of the importance of deciduous teeth can adversely affect the oral health of children especially in their preschool years.<sup>15,16</sup>

The aim of the present study was to determine caries status and treatment needs, oral health knowledge, attitudes, and barriers to care in a group of adult Filipinos residing in a poor urban community in the City of San Jose, located in the province of Batangas, Philippines.

# MATERIALS AND METHODS

San Jose is situated in the province of Batangas, Philippines about 85 km from the capital city of Manila. According to the 2010 census, San Jose had a population of 68,517 people living in approximately 10,000 households.<sup>17</sup>

The subjects in the present study were selected using a convenience sample of adults recruited from Barangay Banay-Banay, a poor district in the city and one of thirty three urbanized Barangays comprising the City of San Jose. A Barangay refers to an administrative unit, district, village, ward, suburb or inner city neighborhood. Banay-Banay is approximately 4 km from the city proper of San Jose. The reasons for obtaining a convenience sample were mainly due to a combination of budgetary and time constraints as well as the need to establish some form of baseline data in this population group. Furthermore, it was not possible to obtain a recent census list or valid population registers. The study was conducted in full accordance with ethical principles and with the approval of the behavioral Research Ethics Board, University of Saskatchewan, Canada.

Sample size calculations indicated that a minimum of 288 participants were needed to be assessed in order to detect a 6 to 10% increase in the prevalence of dental caries and periodontal diseases compared to the general population at  $\alpha = 0.05$  (Type I error) with power of 80%.<sup>18,19</sup> To reduce the margin of error further, it was decided to obtain a sample size of approximately 400 adults aged 16 years and older who were recruited by going door to door and requesting participation till the target size was reached.

Oral examinations were conducted by a calibrated dentist, aided by a recording assistant in a rented facility, in natural light and using a dental mirror and an explorer with the subjects seated in a regular chair. A battery operated head lamp was used as an added source of illumination. Intra-rater reliability was assessed with the Intra-Class Correlation Coefficient (ICC) prior to the survey. Using the sample size determination approach described by Shrout and Fleiss,<sup>20</sup> eight adult subjects were selected at random to achieve an 80% power with agreement of 0.8 intraexaminer variability and two repeated measures. The result of the intraclass cor-relation was 0.925 for the examiner. Decayed, missing, and filled components were recorded in all dentate individuals along with dentition status and treatment needs, fluorosis and oral mucosal lesions using the WHO oral health assessment form.<sup>21</sup>

Additionally, prosthodontic occlusal relationships, periodontal status and treatment needs were assessed. The results of the analyses of this data have been reported recently.<sup>22</sup> All subjects were also requested to complete a questionnaire covering the following areas: oral health knowledge and practices, perceived oral health status, smoking habits and concerns regarding barriers to oral care. It was assumed that a majority of the sample population would most likely have a limited knowledge of spoken and written English posing a problem responding to a standard English language questionnaire format. Therefore the questionnaires and the consent forms were translated from English to Tagalog, the indigenous language of the Philippines. Further, the interview instrument was designed to facilitate a face to face approach and a member of the study team fluent in English and Tagalog was available at all times to assist with any questions the participants may have had. A signed consent was obtained prior to the examination and a copy provided to each subject.

The statistical analysis was carried out using SPSS software (version 21.0). Data was presented using frequencies, percentages, means, standard deviations (SD) and 95% confidence intervals (CI), where applicable. Dental caries prevalence and DMFT were presented stratifying by demographics age, gender and education level.

# RESULTS

A total of 401 subjects aged 16 to 85 years (Mean  $\pm$  SD: 41.4  $\pm$  15.2) were included in the study. There were no significant findings in terms of oral mucosal lesions and dental fluorosis and hence the data was not tabulaed. Thirty one subjects (7.7%) were totally edentulous while 57 (14.2%) had all their natural teeth (third molars were excluded). A total of 7998 teeth was examined.

Table 1 shows that of those surveyed, over 66% were between the ages of 21 and 50 years, with the majority being female (females 84%; males 16%). In terms of education, over 90% of participants reported their highest level of educational attainment as less than or equal to 4th year high school. (In the Philippines, the highest achievement below college is 4th year high school or grade 10 equivalent).

Table 2 illustrates the role of age in caries experience. The mean DMFT for the whole sample was 15.59 and the mean DT was 7.54. As age increases, so does the mean DMFT score, with those over 71 years of age, having a mean DMFT of over 25 teeth affected by caries compared to almost 10 teeth being affected in those under 20 years of age. Expectedly older subjects had more missing teeth compared to their younger counterparts (0.73 MT for  $\leq 20$ years; 22.13 MT for  $\geq$  71 years). While the number of mean untreated decay was higher among the younger age cohorts with those aged 21 to 30 years of age experiencing the most untreated decay (DT of 9.37). Differences are also seen between males and females, with males having a higher mean DMFT (15.81) and having more missing teeth (8.86), while females experience more decayed teeth (7.65). Those with less than high school education have over 3 more teeth affected by decay compared to those with greater than high school (≤HS 15.89 *vs* >HS 12.61).

#### Table 1: Demographics among study population (n = 401)

	Frequency	Percentage (%)
Age groups, in years		
(Mean ± SD: 41.4 ± 15.2)		
≤ 20	22	5.5
21-30	89	22.2
31-40	105	26.2
41-50	73	18.2
51-60	57	14.2
61-70	43	10.7
≥ 71	12	3.0
Sex		
Male	64	16.0
Female	337	84.0
Educational background		
>High school (HS)	36	9.0
≤ High school (HS)	365	91.0

Table 3 highlights the treatment needs attributed to the level of decay in Table 2. The need for extractions increased with age (mean = 1.70; SD = 1.92 teeth in 61-70 year-olds) while the need for multi-surface fillings (mean = 6.59; SD = 2.86) was greatest among young adults.

Tables 4 and 5 show that almost all participants recognized the importance of teeth for daily living (97%). In general oral health awareness was high with 94% reporting to know the importance of brushing at night; 67% understanding the importance of baby teeth and 84% knowing that fluoride was beneficial to their oral health. Conversely, only 33% indicated seeing a dentist in the previous 2 years, yet almost 88% reported the likelihood of seeing a dentist for a check-up in the future.

Table 6 highlights the use of removable dental prostheses. The majority of those surveyed indicated not wearing either full or partial removable dentures (91% and 81%, respectively). Table 7 deals specifically with tobacco use, showing that over 65% of those surveyed reported never smoking and that of those who do smoke, almost 65% have considered quitting. Interestingly, almost all (99.5%) participants who were surveyed mentioned that they did not chew betel leaf or quid. Table 8 shows that of those surveyed, over 90% reported a lack of money as a barrier to oral care while only 2.5% reported fear of pain as a deterrent. Over 82% stated their perceived oral health as fair to poor, suggesting that this Urban poor population was aware of their needs but face financial barriers to acting on this perceived need.

#### DISCUSSION

To the best of our knowledge, this is the first time that the prevalence of dental caries and treatment needs, oral health knowledge, attitudes and barriers to oral care have been documented in a group of adults living in a relatively poor district in the Philippines.

Table 2: Dental caries prevalence and DMFT (I	Decayed, Missing and Filled	)* according to demographics
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Characteristics	N	Decayed		Missing		DMFT	
		Mean	95%CI	Mean	95%Cl	Mean	95%CI
All	401	7.54	7.08-8.00	8.05	7.19-8.91	15.59	14.86-16.32
Age ≤20	22	8.91	7.25-10.56	0.73	0.30-1.16	9.64	8.04-11.23
Age 21-30	89	9.37	8.47-10.26	2.17	1.60-2.73	11.54	10.50-12.58
Age 31-40	105	8.85	7.99-9.70	4.10	3.32-4.89	12.95	11.77-14.13
Age 41-50	73	7.11	6.11-8.11	9.61	7.84-11.39	16.73	15.11-18.33
Age 51-60	57	5.07	4.01-6.13	14.56	12.23-16.89	19.63	17.81-21.45
Age 61-70	43	4.93	3.51-6.35	18.42	15.59-21.24	23.35	21.49-25.21
Age ≥ 71	12	3.67	1.13-6.20	22.17	17.88-26.45	25.83	23.01-28.66
Male	64	6.95	5.83-8.08	8.86	6.60-11.12	15.81	13.83-17.80
Female	337	7.65	7.14-8.15	7.90	6.97-8.84	15.55	14.76-16.34
>High school (HS)	36	7.39	6.24-8.54	5.22	3.22-7.22	12.61	10.82-14.40
≤High school (HS)	365	7.55	7.06-8.05	8.33	7.41-9.26	15.89	15.11-16.67

"There were no filled teeth without decay. Therefore, DMFT was based on summation of decayed and missing teet

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Age (in years)	Ν	Two or more surface filling Mean (SD)	Extraction Mean (SD)
≤ 20	22	6.59 (2.86)	0.91 (0.97)
21-30	89	5.81 (3.20)	1.38 (1.69)
31-40	105	4.66 (3.02)	1.26 (1.65)
41-50	73	3.57 (2.90)	1.45 (1.86)
51-60	57	2.28 (2.18)	1.05 (1.47)
61-70	43	1.14 (1.85)	1.70 (1.92)
≥ 71	12	1.50 (3.0)	1.00 (1.28)
Total	401	4.01 (3.25)	1.31 (1.67)

 
 Table 3: Comparison of age of study population with treatment needs

The present sample comprised of predominantly females, which was expected considering the fact that the study was conducted during the week days when mostly men would be working outside of the home. Likewise, a relatively higher number of female respondents have been reported in other descriptive studies of a similar nature.<sup>23-25</sup> Not surprisingly, considering the sample was obtained from a relatively poor district, the majority of those surveyed reported having less than a high school education (91%). In terms of decay experience, this study found that all participants experienced decay (100%) with an average of over 15 teeth being affected (mean DMFT = 15.59), levels which have not changed substantially over several decades in the Philippines.<sup>26</sup> Further to this were the findings that both, males and those with lower educations had higher mean DMFT scores (males, mean DMFT = 15.81;  $\leq$ HS mean DMFT = 15.89 compared to their female and probably better educated counterparts. Conversely the majority of participants (78%) reported to have never smoked or had quit smoking. Among current smokers almost 65% stated to have considered quitting. These findings are consistent with the 2009 Global Adult Tobacco Survey (GATS) for the country which found that approximately 24% of the Filipino population smoked with 5 times more males reporting being smokers compared to females (47.7% males vs 9% females). Furthermore, the GATS (2009) indicated that over 60% of current smokers were interested in quitting.<sup>27</sup>

Almost all participants (99%) reported not chewing betel leaf (Piper betle) or quid (a mix of the leaf and areca nut), a practice associated with oral submucous fibrosis and oral carcinoma, and still endemic in many parts of South and South East Asia and Melanesia.<sup>28</sup>

Concerning reported barriers to oral healthcare, lack of money was the most common response (91.8%), followed by fear of pain (2.5%) both of which are consistent with the literature.<sup>25,29</sup> Interestingly, though when participants were questioned about their oral health knowledge the majority declared that they understood the importance of teeth for activities required for daily living (i.e. eating, speaking

and esthetics). Further to this, respondents demonstrated a basic understanding of oral health practices; 93% reported brushing after meals, 77% recognized that bleeding gums were not healthy; 82% said tooth brushing was to remove bacteria, and almost all mentioned brushing at least once per day (99%). While it is encouraging that in the select sample of Urban poor, oral health knowledge is present, this knowledge may not translate to utilization of dental services with only 33% indicating seeing a dentist in the previous 2 years. However, the understanding that dental care is accessed largely via out of pocket payments in the Philippines demonstrates a need for affordable, accessible dental programs for this population (i.e. Urban poor).

Despite providing some insight into the caries experience of a selected group of the Urban poor in the Philippines, this study has some limitations. The use of a convenience sample limits the generalizability of the data. Also, as with all self-reported data (oral health knowledge) bias is possible. Studies using randomized sampling in other locales should be undertaken to determine if similar needs exist in adults in the Philippines with regards to dental caries.

Nevertheless, the data presented here do begin to fill a key knowledge gap concerning the oral health of poor, Urban Filipino adults. Based on the findings of the present study and considering the economic and political stability of the country, it is unlikely that traditional approaches to prevent and treat common oral diseases such as caries in the context of limited resources will succeed in addressing the unmet dental needs of the population. Instead, it may be prudent for local government and dental nongovernmental organizations to find means of integrating oral disease preventive programs into the broader national health plans. Further, to consider applying and integrating innovative and inexpensive, feasible and sustainable treatment modalities, such as the WHO's basic package of oral care which includes oral urgent treatment (OUT), affordable fluoride toothpastes (AFT) and atraumatic restorative treatment (ART).<sup>30,31</sup> Oral health initiatives in the Philippines should also include a systematic risk factor review similar to those being conducted by WHO Global Oral Health Program as part of the planning process.32

# CONCLUSION

The results of this pilot study indicate that the majority of Filipino adults living in a poorer section of the city of San Jose, Batangas has unmet caries needs that require prompt attention. Although oral health knowledge and awareness of the importance of good oral hygiene was high, financial reasons were the main barrier to oral care. Cost effective and innovative means of managing the high prevalence of unmet dental needs in this often marginalized segment of



		Dereentere (0/)
Why are teeth important to you?	riequency	Percentage (%)
	94	20.0
To each property	04	20.9
To speak property	2	0.5
All of the choice	2	0.5
All of the above	305	76.1
	8	2.0
Should you clean your teeth before or after meals?	47	
Betore	17	4.2
After	372	92.8
Do not know	12	3.0
Should you brush your teeth before going to bed at night?		0.4.0
Yes	377	94.0
No	17	4.2
Do not know	7	1.8
How many baby teeth does a 3-year old have?		
10	364	90.8
20	4	1.0
25	1	0.2
32	32	8.0
Do not know		
Are baby teeth as important as adult teeth?		
Yes	269	67.1
No	115	28.7
Do not know	17	4.2
Which of the following most harmful to your teeth?		
Potato	1	0.2
Sweets	385	96.0
Rice	4	1.0
Bread	0	0.0
Do not know	11	2.7
Is it 'OK' if your gums bleed when you clean your teeth?		
Yes	79	19.7
No	308	76.8
Do not know	14	3.5
Have you heard of dental plaque?		
Yes	48	12.0
No	160	39.9
Do not know	193	47.1
Have you ever heard of fluoride?		
Yes	325	81.0
No	48	12.0
Do not know	28	7.0
What does fluoride do for your teeth?		
Makes them strong	333	83.0
Makes them loose	3	0.7
Makes the gums bleed	3	0.7
Do not know	62	15.5
What do you think is the main reason for brushing your teeth?		
To make them whiter	45	11.2
To freshen breath	18	4.5
To remove bacteria	329	82.1
Do not know	9	2.2

Table 4: Knowledge and awareness of dental and general health among the study population (n = 401)

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Table 5: Behavior of dental and general health among the study population				
	Frequency	Percentage (%)		
Do you have a toothbrush of your own $(n = 401)$ ?				
Yes	391	97.5		
No	10	2.5		
How often do you brush your teeth (n = 391)?				
One or more times per day	389	99.5		
Less than once a day	2	0.5		
Has a dentist ever shown you how to brush (n = 401)?				
Yes	230	57.4		
No	171	42.6		
Do you think you will need false teeth when you grow older (n = 401)?				
Yes	234	58.4		
No	127	31.7		
Do not know	40	9.9		
Have you been to a dentist in the last 2 years (n = 401)?				
Yes	132	32.9		
No	246	61.3		
Do not know	23	5.7		
Will you likely see to a dentist regularly for a checkup in the future (n = 401)?				
Yes	352	87.8		
No	34	8.5		
Do not know	15	3.7		
Are you afraid of seeing a dentist (n = 401)?				
Yes	13	3.3		
No	385	96.0		
Do not know	3	0.7		

able	5:	Behavior	of	dental	and	general	health	among	the	study	pop	oulatio

Table 6: Removable prostheses among the study population

	Frequency	Percentage (%)
Do you currently wear a full denture plate (n = 383)?		
Yes	36	9.4
No	347	90.6
Do you currently wear a removable partial denture plate (n = 365)?		
Yes	70	19.2
No	295	80.8

Table 7: Tobacco habits among the st	udy population	
	Frequency	Percentage (%)
Smoking status (n = 353)		
Current smoker	79	22.4
Ex-smoker	37	10.5
Never smoked	237	67.1
Do you believe smoking is harmful to your health (n = 141)?		
Yes	131	92.9
No	8	5.7
Do not know	2	1.4
Do you believe inhaling other people's smoke is harmful to your health (n = 14	0)?	
Yes	133	95.0
No	4	2.9
Do not know	3	2.1
If you do smoke, have you ever thought of quitting $(n = 79)$ ?		
Yes	51	64.6
No	28	35.4
Do you chew betel leaf/quid (n = 401)?		
Yes	2	0.5
No	399	99.5

#### Caries, Oral Health Knowledge, Behavior, and Barriers to Care Among a Group of Urban Poor in the Philippines: An Overview

Table 8: Barriers to oral care and perceived oral health sta	atus
among the study population (n = 401)	

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	Frequency	Percentage (%)
Barriers to oral care $(n = 401)$		
Lack of money	368	91.8
Lack of dental insurance	4	1.0
Fear of pain	10	2.5
Work schedule	8	2.0
Lack of transportation	6	1.5
Perceived oral health status		
Condition of your teeth and gums		
Good to Excellent	58	14.5
Fair to Poor	329	82.1
Not applicable	14	3.4

the population should be a priority of public health officials and dental NGO's in the Philippines.

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